

Joshua J. Prince

Investment Advisor Brochure Supplement

Form ADV Part 2b Brochure Supplement for Clients of:

Promus Advisors

8226 Douglas Avenue, Suite 650

Dallas, Texas 75225

214-612-5750

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Dear Client:

This brochure supplement provides information about Joshua J. Prince that supplements Promus Asset Management, LLC's (d/b/a "Promus Advisors") Form ADV 2a Brochure. You should have received a copy of that brochure. Please contact Joshua J. Prince if you did not receive Promus Advisors' Form ADV 2a Brochure or if you have any questions about the contents of this supplement.

The information in this Form ADV 2b Brochure is duplicative of the information provided in the Form ADV 2a Brochure.

Joshua J. Prince is considered a supervised person. His business address is the same business address as the firm's business address: 8226 Douglas Avenue, Suite 650, Dallas, TX 75225. The contact information is: Telephone number 214-612-5750 and email address joshua@promusadvisors.com

Additional information about Joshua J. Prince is available on the SEC's website at www.adviserinfo.sec.gov.

Thank you for choosing Promus.

Respectfully yours,

[Joshua J. Prince](#)

[Robert D. Sewell](#)

Joshua J. Prince

Robert D. Sewell

President, Chief Compliance Officer

CEO

Promus Advisors

Item 2 – Educational Background and Business Experience

Year of birth: 1983

Formal Education after High School

School	Year	Degree
Texas Tech University	December 2005	Bachelor of Science in Personal Financial Planning

Business Experience (past five years)

Company Name	Title	Dates
Promus Advisors	President/CCO/Financial Advisor	10/2015 - Present
Northern Trust	Client Advisor	10/2014 – 09/2015
Bernstein Global Wealth Management	Investment Planning Analyst	09/2007 – 09/2014
FCA Corp.	Financial Planning Associate	02/2006 – 09/2007

Professional Certifications

Mr. Prince currently holds the professional designation of Certified Financial Planner (CFP®).

The Certified Financial Planner trademark, CFP®, and federally registered CFP (with flame design) marks (collectively, the "CFP® marks") are professional certification marks granted in the United States by Certified Financial Planner Board of Standards, Inc. ("CFP Board").

The CFP® certification is a voluntary certification; no federal or state law or regulation requires financial planners to hold CFP® certification. It is recognized in the United States and a number of other countries for its (1) high standard of professional education; (2) stringent code of conduct and standards of practice; and (3) ethical requirements that govern professional engagements with clients. Currently, more than 62,000 individuals have obtained CFP® certification in the United States.

To attain the right to use the CFP® marks, an individual must satisfactorily fulfill the following requirements:

- **Education** - Complete an advanced college-level course of study addressing the financial planning subject areas that CFP Board's studies have determined as necessary for the competent and professional delivery of financial planning services and attain a bachelor's degree from a regionally accredited United States college or university (or its equivalent from a foreign university).

CFP Board's financial planning subject areas include insurance planning and risk management, employee benefits planning, investment planning, income tax planning, retirement planning, and estate planning;

- **Examination** - Pass the comprehensive CFP® Certification Examination. The examination, administered in 10 hours over a two-day period, includes case studies and client scenarios designed to test one's ability to correctly diagnose financial planning issues and apply one's knowledge of financial planning to real world circumstances.

- **Experience** - Complete at least three years of full-time financial planning-related experience (or the equivalent, measured as 2,000 hours per year); and

- **Ethics** - Agree to be bound by CFP Board's Standards of Professional Conduct, a set of documents outlining the ethical and practice standards for CFP® professionals

Individuals who become certified must complete the following ongoing education and ethics requirements in order to maintain the right to continue to use the CFP® marks:

- **Continuing Education** - Complete 30 hours of continuing education hours every two years, including two hours on the Code of Ethics and other parts of the Standards of Professional Conduct, to maintain competence and keep up with developments in the financial planning field; and

Ethics - Renew an agreement to be bound by the Standards of Professional Conduct. The Standards prominently require that CFP® professionals provide financial planning services at a fiduciary standard of care. This means CFP® professionals must provide financial planning services in the best interests of their clients.

CFP® professionals who fail to comply with the above standards and requirements may be subject to CFP Board's enforcement process, which could result in suspension or permanent revocation of their CFP® certification.

Item 3 – Disciplinary Information

Promus Advisors nor Joshua J. Prince has any regulatory disciplinary disclosure events. You may review Mr. Prince's information at the following web sites: Broker Check: www.finra.org/brokercheck & SEC's IAPD link is www.adviserinfo.sec.gov. Mr. Prince has never had a professional designation revoked.

Item 4 – Other Business Activities

Joshua J. Prince does have "other business activities" to disclose. Joshua is a fifty percent (50%) owner of J & K Prince Family Investments LLC, a personal investment holdings entity.

Mr. Prince's and Promus Advisors' source of revenue is from investment management and consulting fees disclosed in the Form ADV Part 2a Brochure that you received. Neither Mr. Prince nor Promus Advisors receives any form of 12b-1 service fee compensation related to investment management services. Promus Advisors may elect to receive a fixed fee or a percentage of assets under management for its investment management fee, on any product, or other services performed by Promus. Mr. Prince devotes 100% of his time to Promus Advisors.

Item 5 – Additional Compensation

Mr. Prince and Promus Advisors' source of revenue is from investment management and consulting fees disclosed in the Form ADV Part 2a Brochure that you received. Neither Mr. Prince nor Promus Advisors receives any other form of 12b-1 service fee compensation related to investment management services. Promus Advisors may elect to receive a fixed fee or a percentage of assets under management for its investment management fee, on any product, or other services performed by Promus.

Item 6 – Supervision

Joshua J. Prince is responsible for all compliance areas related to the investment of client assets and the operation of Promus Advisors. In addition, Mr. Prince is responsible for supervising the advisory activities of registered investment advisers. Mr. Prince can be reached on 214.612.5750.

The independent custodian holding all client assets and executing transactions, however, also assumes limited responsibility that the firm and Joshua J. Prince conduct business in compliance with applicable Federal and State securities laws and regulations.

Item 7 – Requirements for State-Registered Advisors

Joshua J. Prince has no disclosure events to report. You may review Mr. Prince's disclosure record on the SEC's website at www.adviserinfo.sec.gov.